



10-11-05

IFW

DOCKET NO.: ADOL-0712

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Roland E. Dolle, et al.

Confirmation No.: 6307

Application No.: 10/798,664

Group Art Unit: 1625

Filing Date: March 11, 2004

Examiner: Evelyn Mei Huang

For: SUBSTITUTED PIPERIDINE COMPOUNDS AND METHODS OF THEIR USE

EXPRESS MAIL LABEL NO: EV631244021US

DATE OF DEPOSIT: October 7, 2004

EV631244021US

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☐ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or

before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☒ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☒ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ A copy of reference number **40** listed on the attached Form PTO-1449 is enclosed herewith.

- ☒ A copy of reference number **41** on the attached Form PTO 1449 is not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).


☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

- ☐ The relevance of those listed references which are not in the English language is as follows:

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: *Oct 6, 2005*


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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:


CERTIFICATION UNDER 37 CFR § 1.97(e)

In accordance with 37 CFR § 1.97(e), certification is hereby made that:

- ☒ Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.
- ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the

information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Date: *October 6, 2005*


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Sheet 1 of 2

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office		Docket No. ADOL-0712	Application No. 10/798,664
		Applicant Roland E. Dolle, et al.	
		Filing Date March 14, 2004	Group 1625
		Confirmation No. 6307	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
*	40	Thomas, J.B., et al., "N-substituted octahydro-4a-(3-hydroxyphenyl)-10a-methylbenzo[g]isoquinolines are opioid receptor pure antagonists," <i>Bioorganic & Medicinal Chem. Letts.</i> , 1998, 8, 3149-3152	
EXAMINER		DATE CONSIDERED	

* Cited on PCT International Search Report/Written Opinion dated August 30, 2005
(PCT/US05/07766)

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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. ADOL-0712	Application No. 10/798,664
	Applicant Roland E. Dolle, et al.	
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U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
*	41	5,731,322	03/24/98	Dondio, et al.	514	292

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO

EXAMINER	DATE CONSIDERED
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* Cited on PCT International Search Report/Written Opinion dated August 30, 2005
(PCT/US05/07766)